

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: 10/719228

Confirmation No.:

3176

Applicant

Rourke, et al.

Filing Date

11/21/2003

Title

Micro-porous mesh stent with hybrid structure

Group Art Unit

3732

Examiner

Cary E. O'Connor

Docket No.

702563.4010

Customer No.

34313

Commissioner for Patents Mail Stop RCE PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98(a)(2)(i), only copies of foreign patent documents and non-patent literature are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING (37 CFR §1.8)

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

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| Dated: | | <u>ي</u> | 102 | |

Signature of Person Mailing Document

DOCSOC1:166728.1

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INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

| INFORMATION DISCLOSURE STATEMENT FIELING FROVISION. |
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| This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), |
| that is (1) within three months of the filing date of the application, which is not a continued |
| prosecution application filed under § 1.53(d); or (2) within three months of entry of the national |
| stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the |
| merits; or (4) before the mailing of a first Office action after filing a request for continued |
| examination under § 1.114. Thus, no fee is required. |
| Mowever, if the undersigned is in error in this regard, Applicant respectfully |
| requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if |
| applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit |
| account referenced below. |
| However, if the undersigned is in error in this regard, Applicant respectfully |
| requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if |
| applicable, and a statement under 37 CFR § 1.97(e) is included below, thus |
| no fee is required. |
| ☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office |
| action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance |
| under 37 CFR § 1.311. |
| The fee due under 37 CFR § 1.17(p) is submitted herewith. |
| A statement under 37 CFR § 1.97(e) is included below, thus no fee is |
| required. In the event that this IDS is not received before a Final Action or a |
| Notice of Allowance, then Applicant respectfully requests that the Office |
| consider the filing of these papers to be submitted under 37 CFR § 1.97(d) |
| and charge the fee due under 37 CFR § 1.17(p) to the deposit account below. |
| This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 |
| CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue |
| fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR |
| § 1.17(p) is submitted herewith. |

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ocket No. :

| STATEMENT UNDER 37 CFR § 1.97(e): | | | | |
|--|--|--|--|--|
| Each item contained in this IDS was first cited in any communication from a foreign | | | | |
| patent office in a counterpart foreign application not more than three months prior to the filing of | | | | |
| this IDS. | | | | |
| No item contained in this IDS was cited in a communication from a foreign patent office | | | | |
| in a counterpart foreign application, and, to the knowledge of the person signing this statement | | | | |
| after making reasonable inquiry, no item of information contained in this IDS was known to any | | | | |
| individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS. | | | | |
| | | | | |
| PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES: | | | | |
| A check in the amount of is enclosed for the above fee(s). | | | | |
| Please charge to Deposit Account No. 15-0665 for the above fee(s). | | | | |
| The Commissioner is authorized to charge any fees required by the filing of these | | | | |
| papers, and to credit any overpayment to Orrick, Herrington & Sutcliffe's Deposit Account | | | | |
| No. 15-0665 . | | | | |
| | | | | |
| Respectfully submitted, | | | | |
| ORRICK, HERRINGTON & SUTCLIFFE LLP | | | | |
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| Dated: Ave 30 2005 By: Charles C. Fowler | | | | |
| Onarios of Fowler | | | | |
| Reg. No. 39675 | | | | |
| ORRICK, HERRINGTON & SUTCLIFFE LLP | | | | |
| 4 Park Plaza, Suite 1600 | | | | |

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PTO/SB/08a (08-03)

Approved for use through 7/31/06. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

he Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for fores 1449A/PTO Complete if Known PADEMARK 10/719228 **Application Number** 11/21/2003 Filing Date INFORMATION DISCLOSURE First Named Inventor Rourke STATEMENT BY APPLICANT 3732 Art Unit O'Connor Examiner Name (use as many sheets as necessary) 702563.4010 Attorney Docket Number Sheet of

| U.S. PATENT DOCUMENTS | | | | |
|-----------------------|-----------|--|------------------|-----------------------------|
| Examiner | | U.S. Patent Document | Publication Date | Name of Patentee or |
| Initials | Cite No.1 | Number-Kind Code ^{2 (if known)} | MM-DD-YYYY | Applicant of Cited Document |
| | AA | 5078736 A | 01/07/1992 | Behl, Robert S. |
| | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | |
|-----------------------------|----|---|--------------------------------|--|--|
| Examiner Initials Cite No.1 | | Foreign Patent Document Country ³ Number ⁴ Kind Code ^{5 (if known)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | |
| muais | BA | WO 98/11857 A | 03/26/1998 | Houser et al. | |
| | BB | WO 98/22045 A | 05/28/1998 | OS Cardiovascular Dynamics, Inc. | |
| | ВС | WO 98/25544 A | 06/18/1998 | Purdue Research Foundation | |
| | BD | WO 00/61034 A | 10/19/2000 | Endotex Interventional Systems, Inc. | |

| Examiner | DOCSOC1:166733.1 | Date | |
|-----------|------------------|------------|--|
| Signature | | Considered | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicants. ¹Applicants unique citation designation number (optional). ²See Kinds of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is govern by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you are required to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, Mail Stop Amendment, P.O. 1450, Alexandria, VA 22313-1450. Box 1450, Alexandria, VA 22313-1450